Dingman Center Angels Investor Application (2014-2015 Season)

Name: __________________________  Title (if applicable): __________________________

Company: _____________________________________________________________

Mailing Address: _________________________________________________________

City: ____________________________  State: _________________  ZIP: ______________

Telephone:  Work __________________  Cell __________________
            Home __________________  Fax __________________

E-mail: _________________________________________________________________

Select Membership:


Payment Method:

☐ Check (Checks payable to “University of Maryland”)
☐ Credit Card (Circle One)    American Express    Visa    Mastercard

# ____________________________  Exp. date _____________

Name _________________________________________________________________

Signature ______________________________________________________________

Date _________________________________________________________________

Please mail your Application, Investor Certification, and check to:
Dingman Center for Entrepreneurship
 c/o Alyse Carter
 2518 Van Munching Hall
 2518 Van Munching Hall
 College Park, Maryland 20742

Inquiries can be made to Joel Marquis at jmarquis@rhsmith.umd.edu or 301-405-9510
Dingman Center Angels Investor Profile

Name: __________________________

Can we share this information with the group? □ Yes □ No

Industry background:
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Most recent positions (company & title):
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Investment preferences (industry, technology, investment $$ range, etc.):
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Investor education interests:
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Optional (will not be shared with group): List previous Dingman Center Angels Investments (company, not $)
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Any additional feedback?
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
Dingman Center Angels Investor Certification

Please Note

The Dingman Center Angels facilitates the introduction of applicant entrepreneurs and investors through circulation of Executive Summaries and presentations; it does not function as a securities broker-dealer, investment advisor, security exchange or clearinghouse, and is not registered as such with the Securities Exchange Commission or any state securities commissions.

Investments in entrepreneurial firms that use the Dingman Center Angels will usually involve a high degree of risk. All investors are encouraged to seek legal and other professional counsel prior to making such investments. Those who elect to invest must rely on their own judgment and that of their advisors regarding the merits of a particular investment opportunity. Dingman Center Angels makes no representations or warranties regarding these firms. Investors must conduct their own due diligence and negotiate the terms of investment they elect to make.

This application does not constitute an offer to sell or the solicitation of an offer to buy any securities. Any sale or purchase of investment interest resulting from a facilitated introduction shall be on a negotiated basis between the entrepreneur and the investor(s) without any participation by or transaction based remuneration to Dingman Center Angels.

Certification

Participation in Dingman Center Angels is limited to investors who qualify as “accredited investors” under regulation D of the U. S. Securities and Exchange Commission. Investors registering with Dingman Center Angels must complete the following certification:

________ I qualify as an “accredited investor” – Individual- as defined in rule 501(a) of Regulation D. Rule 501(a) defines an accredited investor to include “any natural person whose individual net worth, or joint net worth with that person’s spouse, exclusive of my principal residence, at the time of his purchase exceeds $1,000,000” or “any natural person who had an individual income in excess of $200,000 in each of the two most recent years or joint income with that person’s spouse in excess of $300,000 in each of those years and who reasonably expects to reach the same income level in the current year.”

________ I qualify as an “accredited investor” – Institution. This definition includes a bank, insurance company, registered investment company, business development company, or small business investment company; an employee benefit plan, within the meaning of the Employee Retirement Income Security Act if a bank, insurance company, or registered investment adviser makes the investment decisions, or if the plan has total assets in excess of $5 million; a charitable organization, corporation or partnership with assets exceeding $5 million; A director, executive officer, or general partner of the company selling the securities; a business in which all of the equity owners are accredited investors; a trust with assets of at least $5 million, not formed to acquire the securities offered, and whose purchases are directed by a sophisticated person.

Name __________________________________________ Date_______________________________________

Signature __________________________________________

Title __________________________________________