

## Dingman Center Angels Investor Application

Name: \_\_\_\_\_ Title (if applicable): \_\_\_\_\_

Company: \_\_\_\_\_

(\_\_\_) Check here if your membership application is for a business entity

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Telephone: Work \_\_\_\_\_ Home \_\_\_\_\_

Cell \_\_\_\_\_ Fax \_\_\_\_\_

E-mail: \_\_\_\_\_

### **Payment Method:**

Select membership:

\_\_\_\_\_ \$1500 Individual Membership for Fall 2020/Spring 2021 investment season

\_\_\_\_\_ \$2500 Business Entity Membership for Fall 2020/Spring 2021 investment season

(Checks payable to "University of Maryland")

Name \_\_\_\_\_

Signature \_\_\_\_\_

Date \_\_\_\_\_

### **Please mail your Application, Investor Certification and check to:**

Robert H. Smith School of Business  
Office of Finance & Accounting  
ATTN: William Hedges  
3570H Van Munching Hall  
7699 Mowatt Lane (039)  
University of Maryland  
College Park, MD 20742-1815

Inquiries can be made to Stan Smith at [stsmith7@umd.edu](mailto:stsmith7@umd.edu).

## Dingman Center Angels Investor Certification

### Please Note

***The Dingman Center Angels facilitates the introduction of applicant entrepreneurs and investors through circulation of Executive Summaries and presentations; it does not function as a securities broker-dealer, investment advisor, security exchange or clearinghouse, and is not registered as such with the Securities Exchange Commission or any state securities commissions.***

Investments in entrepreneurial firms that use the Dingman Center Angels will usually involve a high degree of risk. All investors are encouraged to seek legal and other professional counsel prior to making such investments. Those who elect to invest must rely on their own judgment and that of their advisors regarding the merits of a particular investment opportunity. Dingman Center Angels makes no representations or warranties regarding these firms. Investors must conduct their own due diligence and negotiate the terms of investment they elect to make.

This application does not constitute an offer to sell or the solicitation of an offer to buy any securities. Any sale or purchase of investment interest resulting from a facilitated introduction shall be on a negotiated basis between the entrepreneur and the investor(s) without any participation by or transaction based remuneration to Dingman Center Angels.

### Certification

Participation in Dingman Center Angels is limited to investors who qualify as “accredited investors” under regulation D of the U. S. Securities and Exchange Commission. Investors registering with Dingman Center Angels must complete the following certification:

\_\_\_\_\_ I qualify as an “accredited investor” – Individual- as defined in rule 501(a) of Regulation D. Rule 501(a) defines an accredited investor to include “any natural person whose individual net worth, or joint net worth with that person’s spouse, exclusive of my principal residence, at the time of his purchase exceeds \$1,000,000” or “any natural person who had an individual income in excess of \$200,000 in each of the two most recent years or joint income with that person’s spouse in excess of \$300,000 in each or those years and who reasonably expects to reach the same income level in the current year.”

\_\_\_\_\_ I qualify as an “accredited investor” – Institution. This definition includes a bank, insurance company, registered investment company, business development company, or small business investment company; an employee benefit plan, within the meaning of the Employee Retirement Income Security Act if a bank, insurance company, or registered investment adviser makes the investment decisions, or if the plan has total assets in excess of \$5 million; a charitable organization, corporation or partnership with assets exceeding \$5 million; A director, executive officer, or general partner of the company selling the securities; a business in which all of the equity owners are accredited investors; a trust with assets of at least \$5 million, not formed to acquire the securities offered, and whose purchases are directed by a sophisticated person.

Name \_\_\_\_\_

Signature \_\_\_\_\_

Title \_\_\_\_\_

Date: \_\_\_\_\_